

2161
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in a container addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 8/22/2001.
Signature: Camela R. Brennan
Camela R. Brennan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Williams et al.	RECEIVED AUG 29 2001 Group 2100
Serial No.: 09/820292	
Filed: March 27, 2001	
Title: Apparatus, Systems and Methods for Online, Multi-Parcel, Multi-Carrier, Multi-Service Parcel Returns Shipping Management	
Attorney Docket No.: PSTM0042/MRK	
Group Art Unit: 2161	
Examiner: Not yet assigned	
Date: August 22, 2001	

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Section 1: Preliminary Statements:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the manual of patent examining procedure §§ 609 and 707.05(B), enclosed is Form PTO-1449 listing references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

While this Information Disclosure Statement, and the references cited in the enclosed Form PTO-1449 may be "material" pursuant to 37 CFR § 1.56, submission of this Information Disclosure Statement and citation of the references listed in the enclosed Form PTO-1449 are not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

Serial No. 09/820292

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of Form PTO-1449 be entered in the application file and returned to Applicant with the next communication from the office in accordance with MPEP §609.

Section 2. FORM 1449

A list of patents and publications is set forth on the enclosed Form PTO-1449.

Respectfully submitted,

August 22, 2001
Date

Marilyn R. Khorsandi
Marilyn R. Khorsandi
Attorney/Agent for Applicant(s)
Reg. No. 45744

Khorsandi Patent Law Group, ALC
140 S. Lake Ave., Ste. 312
Pasadena, California 91101-4710
Telephone No.: (626) 796-2856
Fascimile: (626) 796-2864

Please type a plus sign (+) inside this box → ✖

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/08A (10-96)

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

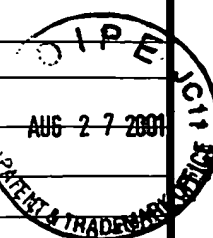
1

of

4

Complete if Known

Application Number 09/820292
Filing Date 3/27/2001
First Named Inventor Williams, et al.
Group Art Unit 2161
Examiner Name Unknown
Attorney Docket Number PSTM0042/MRK



U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		4,024,380	235/61.9A	Gunn	05/17/1977	Throughout
		4,868,757	364/464.03	Gil	09/19/1989	Throughout
		5,072,397	364/464.02	Barns-Slavin et al.	12/10/1991	Throughout
		5,117,364	364/464.03	Barns-Slavin et al.	05/26/1992	Throughout
		5,233,532	364/464.03	Ramsden	08/03/1993	Throughout
		5,293,310	364/408	Carroll et al.	03/08/1994	Throughout
		5,315,508	364/401	Bain et al.	05/24/1994	Throughout
		5,337,246	364/464.02	Carroll et al.	08/09/1994	Throughout
		5,481,464	364/464.03	Ramsden	01/02/1996	Throughout
		5,485,369	364/401	Nicholls et al.	01/16/1996	Throughout
		5,513,112	364/464.02	Herring et al.	04/30/1996	Throughout
		5,586,037	364/464.03	Gil et al.	12/17/1996	Throughout
		5,615,120	364/464.17	Schwartz et al.	03/25/1997	Throughout
		5,631,827	395/228	Nicholls et al.	05/20/1997	Throughout
		5,675,493	364/464.03	Schwartz et al.	10/07/1997	Throughout
		5,699,258	364/464.19	Thiel	12/16/1997	Throughout
		5,710,887	395/226	Chelliah et al.	01/20/1998	Throughout
		5,778,348	705/409	Manduley et al.	07/07/1998	Throughout
		5,787,400	705/1	Weber	07/28/1998	Throughout
		5,869,819	235/375	Knowles et al.	02/09/1999	Throughout
		6,012,065	707/103	Boucher et al.	01/04/2000	Throughout
		6,018,725	705/401	Boucher et al.	01/25/2000	Throughout
		6,029,140	705/11	Martin et al.	02/22/2000	Throughout
		6,047,273	705/410	Vaghi	04/04/2000	Throughout
		6,064,981	705/26	Barni et al.	05/16/2000	Throughout
		6,078,889	705/1	Boucher et al.	06/20/2000	Throughout
		6,233,568	705/410	Kara	05/15/2001	Throughout

RECEIVED
AUG 29 2001
Group 2100

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
		PCT	WO 00/46718		FREIGHTMART.COM CORPORATION	08/10/2000	Throughout	
		PCT	WO 00/46728		United Parcel Service of America, Inc.	08/10/2000	Throughout	
		PCT	WO 00/70519		FROM2.COM, INC.	11/23/2000	Throughout	

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ✖

PTO/SB/08B (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		<i>Complete if Known</i>			
		Application Number	09/820292		
		Filing Date	3/27/2001		
		First Named Inventor	Williams et al		
		Group Art Unit	2161		
		Examiner Name	Unknown		
Sheet	2	of	4	Attorney Docket Number	PSTM0042/MRK

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Kewill Systems, Inc., "Kewill Systems PLC Acquires Leading U.S. Shipping and Receiving E-Commerce Software Firm for \$18 Million", Aristo Computers Press Releases and Product Developments, July 27, 1999, pages 1-3 of 13, Marlborough, Mass.
		Kewill Systems, Inc., "USPS Delivery Confirmation Support Now Available in Aristo's Economical APSS® Lite Shipping System", Aristo Computers Press Releases and Product Developments, June 23, 1999, page 3 of 13, Beaverton, Oregon.
		Kewill Systems, Inc., "Aristo Parcel Shipping System® Certified by USPS to Support New Express Mail Manifesting", Aristo Computers Press Releases and Product Developments, June 21, 1999, pages 3 and 4 of 13, Beaverton, Oregon.
		Kewill Systems, Inc., "Aristo Parcel Shipping System® Certified UPS OnLine® Compatible to Support UPS International Shipping", Aristo Computers Press Releases and Product Developments, May 12, 1999, pages 4 and 5 of 13, Beaverton, Oregon.
		Kewill Systems, Inc., "Aristo Parcel Shipping System® Certified to Fully Support FedEx PowerShip® Server", Aristo Computers Press Releases and Product Developments, May 10, 1999, pages 5 and 6 of 13, Beaverton, Oregon.
		Kewill Systems, Inc., "Aristo Computers Names AllPoints Systems Strategic Partner" <i>AllPoints Systems to Integrate Aristo shipping functionality into its Demand Chain Software</i> , Aristo Computers Press Releases and Product Developments, April 13, 1999, pages 6 and 7 of 13, Beaverton, Oregon and Dedham, Massachusetts.
		Kewill Systems, Inc., "Midgard Information Systems and Aristo-Integrated Shipping Solutions for Today's Marketplace", Aristo Computers Press Releases and Product Developments, January 19, 1999, pages 7-9 of 13, Charlotte, North Carolina.
		Kewill Systems, Inc., "Aristo's OLE Server Provides Shipping & Manifesting Engine for Third Party Developers", Aristo Computers Press Releases and Product Developments, July 28, 1998, pages 9 and 10 of 13, Beaverton, Oregon.
		Kewill Systems, Inc., "Aristo Computers Adds New Economical Shipping Solution to Its Product Line", Aristo Computers Press Releases and Product Development, April 30, 1998, page 10 of 13, Beaverton, Oregon.
		Kewill Systems, Inc., "Aristo announces latest APSS® developments!", Aristo Computers Press Releases and Product Developments, June 9, 1997, pages 10 and 11 of 13, Beaverton, Oregon.
		Kewill Systems, Inc., "Aristo launches APSS® for Windows", Aristo Computers Press Releases and Product Developments, October 20, 1996, pages 11-13 of 13, Beaverton, Oregon.

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Please type a plus sign (+) inside this box → *

PTO/SB/08B (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449B/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/820292	
			Filing Date	10/6/2000	
			First Named Inventor	Williams et al.	
			Group Art Unit	2161	
			Examiner Name	Unknown	
Sheet	3	of	4	Attorney Docket Number	PSTM0042/MRK

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"Netscape and FDX Unveil Plans for Next Generation Internet Package Delivery Center", Netscape Press Releases, April 7, 1999, 3 pages, Mt.View, California and Memphis, Tennessee.
		"TanData Announces Release of ChainLink™ Applications Suite" TanData Press Releases, December 8, 1999, 2 pages, Tulsa, Oklahoma.
		"UPS Extends Capability of iCat Electronic Commerce Software, TanData Press Releases, October 26, 1998, 2 pages, Atlanta.
		ANDY WANG, "FedEx and Netscape Build Shipping Portal", E-Commerce Times, April 8, 1999, 2 pages.
		MARY HILLEBRAND, "From2.Com Gives International E-Commerce A Hand", E-Commerce Times, July 9, 1999, 3 pages.
		TIM WILSON, "Shippers Repackaged As E-Providers", InformationWeek, Issue: 758, Section: Transportation Transformation: A Special Report From Internetweek, October 25, 1999, 6 pages.
		JAMES HOLLANDER, "UPS Boosts E-Commerce Strategy with New Web Tools, E-Commerce Times, April 6, 1999, 3 pages.
		Collection of Screen Printouts (Copyright © 2000) from "anythingovernight.com" referring to shipping product Shipment, entitled "Shipment", 4 pages, "About Us", 1 page, "Shipping", 1 page, "Reporting", 1 page, "User Profile" 1 page, "Shipments to Me", 1 page, and "API", 1 page
		LISA CORBIN, "Electronic Commerce Strategies", Government Executive Magazine, August 30, 1999, 5 pages.
		Computer Support, Inc. (CSI), page 10 of 117 listed in "Electronic Data Interchange (EDI): Software Profiles" Datapro, July, 1998)
		TradePoint Systems LLC, International Trade and Transportation, and Transportation Concepts and Services, Inc. (TCS), page 19 of 177 listed in "Electronic Data Interchange (EDI): Software Profiles" Datapro, July, 1998)
		Carrier Quality Performance Software, page 39 of 177 listed in "Electronic Data Interchange (EDI): Software Profiles" Datapro, July, 1998)
		Late Delivery Quality Monitor Software (for Federal Express Shipments), page 97 of 177 listed in "Electronic Data Interchange (EDI): Software Profiles" Datapro, July, 1998)
		Scheme Software Order Entry/Billing 5.0, page 156 of 177 listed in "Electronic Data Interchange (EDI): Software Profiles" Datapro, July, 1998)
		Software for International Trade, page 157 of 177 listed in "Electronic Data Interchange (EDI): Software Profiles" Datapro, July, 1998)

Examiner Signature	Date Considered
--------------------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
collection of information unless it displays a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

2
0
et al. AUG 27 2001
2
OIP
DEPT. OF COMMERCE
PATENT & TRADEMARK OFFICE

RECEIVED
406 29 2001
Group 2100

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231